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U.S. Department of Commerce, Patent and Trademark Office				Attorney Docket No.: 1004-3631			
<div style="border: 1px solid black; padding: 5px; display: inline-block;"> RECEIVED NOV 15 1999 </div>				Application No.: 09/368,507			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant(s): David L. Wood et al.			
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block;"> NOV 12 1999 PTENT & TRADEMARK OFFICE </div>				Filing Date: August 5, 1999			
GROUP 2700				Group Art Unit: 2766			
				Date Submitted: November 10, 1999			
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
CS	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.					
CS	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.					
CS	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.					
Examiner <i>Cw Shultz</i>		Date Considered <i>3/11/2003</i>					
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CS	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.						
CS	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.						
CS	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.						
Examiner <i>CS</i> <i>[Signature]</i>		Date Considered <i>3/11/2003</i>						
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
CS	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.						
CS	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.						
CS	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.						
Examiner <i>CS</i>		Date Considered <i>3/11/2003</i>						
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CS	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," Sun Microsystems White Paper, Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.
CS	AS	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, SecurityDynamics White Paper, Bedford, MA, http://www.securitydynamics.com/products/whitepapers/kerberos.html , 8 pages.
CS	AT	Mastering Security on the Internet for Competitive Advantage, Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.

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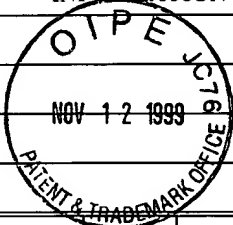
Applicant(s): David L. Wood et al.

(Use several sheets if necessary)

Filing Date: August 5, 1999

Group Art Unit: 2766

Date Submitted: November 10, 1999



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CS	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.
CS	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.
CS	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.

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Sheet 6 of 6

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GROUP 2700

Applicant(s): David L. Wood et al.

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Filing Date: August 5, 1999

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U.S. Patent Documents

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	Document	Date	Country	Class	Subclass		Yes	No
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	AN							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	AR	"Products Application Server," Art Technology Group Product Overview Developer Workbench, downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.
CS	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.
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Attorney Docket No.: 1004-3631

Application No.: 09/368,507

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant(s): David L. Wood et al.

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Filing Date: August 5, 1999

Group Art Unit: 2184

Date Submitted: December 12, 2000

U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
CS	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01	
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Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
CS	AL	96/42041	27 Dec. 1996	WO				
CS	AM	98/25373	11 Jun. 1998	WO				
CS	AN	98/57247	17 Dec. 1998	WO				
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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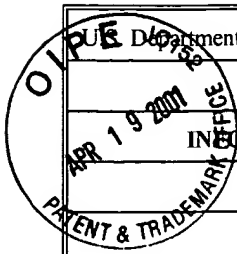
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U.S. Department of Commerce, Patent and Trademark Office					Attorney Docket No.: 004-3631			
					Application No.: 09/368,507			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s): David L. Wood et al.			
(Use several sheets if necessary)					Filing Date: August 5, 1999			
					Group Art Unit: 2184			
					Date Submitted: March 8, 2001			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
CS	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01		
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CS	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.						
CS	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.						
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Examiner		CS		Date Considered		3/11/2003		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application No.: 09/368,507
(Use several sheets if necessary)	Applicant(s): David L. Wood et al.
	Filing Date: August 5, 1999
	Group Art Unit: 2184
	Date Submitted: April 17, 2001

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CS	AL	0 465 016 A	Jan. 8, 1992	EP	 			
CS	AM	0 849 680 A	June 24, 1998	EP	 			
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CS	AR	W. Stallings, "Authentication Applications", <i>Cryptography and Network Security</i> , Chapter 11, pp. 323-340, 1998 Prentice-Hall, US XP002161792
CS	AS	R. Ganesan, "Yaksha: Augmenting Kerberos with Public Key Cryptography", <i>Proceedings of the Symposium on Network and Distributed System Security</i> , pp. 132-143, Feb. 16, 1995, IEEE, XP002148503
CS	AT	W. Stallings, "IPV6: The New Internet Protocol", <i>IEEE Communications Magazine</i> , IEEE Service Center, Piscataway, N.J., US, vol. 34, no. 7, July 1, 1996, pp. 96-108 XP000623747, ISSN: 0163-6804

Examiner *CS Shelly* Date Considered 3/11/2003

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